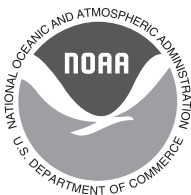


Economic Data Collection (EDC) Form



**WEST COAST GROUND FISH
FIRST RECEIVER
AND SHOREBASED PROCESSOR
2015**

NOAA Fisheries – Northwest Fisheries Science Center

Who is responsible for submitting:

- All owners of a first receiver site license in 2015; or
- All owners and lessees of a shore-based processor (as defined under “processor” at §660.11, subpart C, for purposes of EDC) that received round or headed-and-gutted IFQ species groundfish or whiting from a first receiver in 2015.
- A separate EDC form is required for each processing facility.

Complete all questions. If a question is not applicable, write "NA" in the answer box. The survey will not be considered complete unless there is an answer to every question.

Submit by September 1, 2016.

Paper submission: Completed and signed EDC forms must be mailed and postmarked by, or hand-delivered to NMFS no later than September 1, 2016. Mail or deliver to

Economic Data Collection Program (FRAM Division)
Northwest Fisheries Science Center
2725 Montlake Boulevard East
Seattle, WA 98112

Web form submission: Completed EDC web forms must be submitted electronically and the signature page faxed, or hand-delivered, or mailed and postmarked no later than September 1, 2016. Mail or deliver to address above. Fax to (206) 861-8225.

Retain a copy. Retain a copy of the completed form.

More information: www.nwfsc.noaa.gov/edc.

Questions: Visit the website above or contact Erin Steiner at (866) 791-3726 or NWFSC.EDC@noaa.gov

Public Reporting Burden Statement

Public reporting burden for this collection of information is estimated to take 20 hours per response, including time for reviewing the instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden to Erin Steiner, National Marine Fisheries Service, Northwest Fisheries Science Center, 2725 Montlake Blvd E, Seattle, WA 98112.

Additional Information

Before completing this form, please note the following: 1) Notwithstanding any other provision of the law, no person is required to respond to, nor shall any person be subject to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number; 2) This information is mandatory and is required to manage commercial fishing efforts under 50 CFR part 660 and under section 402(a) of the Magnuson-Stevens Act (16 U.S.C. 1801, *et seq.*); 3) Responses to this information request are confidential under 402(b) of the Magnuson-Stevens Act (16 U.S.C. 1801, *et seq.*). They are also confidential under NOAA Administrative Order 216-100, which sets forth procedures to protect the confidentiality of fishery statistics.

I. Contact Information and Facility Characteristics

1. Provide the buyer identification number issued by the state fish and game agencies associated with this entity or facility. Enter "NA" if this is a processing facility that does not have a buyer identification number.

Processor/Buyer IDs
Washington Department of Fish and Wildlife _____
Oregon Department of Fish and Wildlife _____
California Department of Fish and Game _____

2. Provide the following information about this receiving or processing facility.

Name of Facility		First Receiver Site License Number for 2015	
Business Mailing Address Street / PO Box		Business Phone ()	
		Business Fax ()	
City	State	Zip Code	Business Email

3. **Individual completing this report.** If your address, phone, and email are provided above, you do not need to repeat them here, but please provide your name and title.

Name		Title	
Business Mailing Address Street / PO Box		Business Phone ()	
		Business Fax ()	
City	State	Zip Code	Business Email

4. Answer the following questions related to the most recent appraisal of this facility.

What was the year of this facility's last appraisal?	_____ yyyy
What was the <i>market value</i> of this facility from the appraisal, rounded to the nearest 100 dollars?	\$ _____
What was the <i>replacement value</i> of the facility from the appraisal, rounded to the nearest 100 dollars?	\$ _____
Did the survey values given above include the value of all processing equipment* contained on-site?	Yes <input type="checkbox"/> No <input type="checkbox"/>

5. For the remainder of the survey, report values from your 2015 fiscal year. When did this facility's 2015 fiscal year begin?

_____ / _____ / _____ mm dd yyyy

Please report values from your 2015 fiscal year for the remainder of this survey.

II. Capitalized Expenditures

6. Provide the 2015 **capitalized expenditures** associated with the facility buildings, machinery, and equipment. Round all answers to the nearest 100 dollars.

Capitalized Expenditure Category	Total Capitalized Expenditures
Capitalized expenditures on buildings (exclude land but include building improvements)	\$ _____
Capitalized expenditures on new and used machinery and equipment (include only equipment used to process*, transport† on-site, or store‡ fish on-site)	\$ _____

* *Processing equipment*: All equipment present at this physical location that is used for preparation or packaging of seafood to render it suitable for human consumption, retail sale, industrial uses or long-term storage, including, but not limited to, cooking, canning, smoking, salting, drying, filleting, freezing, or rendering into meal or oil.

† *Transportation equipment*: Equipment such as trucks, forklifts, etc. used to transport seafood within this physical location.

‡ *Storage equipment*: Equipment present at this physical location for packaging and freezing of seafood.

III. Employees and Payroll

Provide the following information about the number of employees and wages. Include full and part-time employees and temporary employees working at this facility. The information is requested separately for production workers and all other employees, which are defined below.

7. Provide the number of **production workers** in the following table. **Production workers** include those workers at this facility (up through and including the line-supervisor level) engaged in fabricating, processing, assembling, inspecting, receiving, packing, warehousing, shipping, maintenance, repair, janitorial, product development, or transporting product on-site. If your fiscal year does not follow the calendar year, use the month that corresponds with your fiscal year.

For the week of your fiscal year that includes	Number of production workers (full time, part time, and temporary)	Total Hours Worked (in the one week)
January 12th	_____ workers	_____ hrs/week
February 12th	_____ workers	_____ hrs/week
March 12th	_____ workers	_____ hrs/week
April 12th	_____ workers	_____ hrs/week
May 12th	_____ workers	_____ hrs/week
June 12th	_____ workers	_____ hrs/week
July 12th	_____ workers	_____ hrs/week
August 12th	_____ workers	_____ hrs/week
September 12th	_____ workers	_____ hrs/week
October 12th	_____ workers	_____ hrs/week
November 12th	_____ workers	_____ hrs/week
December 12th	_____ workers	_____ hrs/week

8. Provide the **number of all other employees** in the following table. **All other employees** includes those involved in supervision above line-supervisor level, sales, advertising, credit, collection, installation, cafeteria, recordkeeping, clerical and routine office functions, guard services, executive, purchasing, finance, and legal. If hours are not tracked for salaried employees, please assume a 40-hour work week. If your fiscal year does not follow the calendar year, use the month that corresponds with your fiscal year.

For the week of your fiscal year that includes	Number of all other employees (full time, part time, and temporary)	Total Hours Worked (in the one week)
March 12th	_____ employees	_____ hrs/week

9. Provide the total number of **individuals** who worked at this facility during 2015 in production activities and in all other activities.
- This value should represent the number of individuals who worked at any point during the year, rather than the number of positions.
 - **Include** full-time and part-time workers, contract workers, temporary workers, and workers on paid leave.

Labor Category	Total Number of Individuals
Production workers (see definition in Question 7)	_____ individuals
All other employees (see definition in Question 8)	_____ individuals

10. Provide the total 2015 labor expenses for **production workers** and **all other employees**.
- Include wages, bonuses, benefits, payroll taxes, and unemployment insurance.

Labor Category	Total Expenses
Production workers (see definition in Question 7)	\$ _____
All other employees (see definition in Question 8)	\$ _____

IV. Quota Costs

11. Provide the total **cost** of **quota pounds** and **quota shares** purchased or leased during 2015 in the **West Coast** Groundfish Trawl Catch Share Program. Purchase of quota reflects a permanent transfer, whereas lease implies a temporary transfer.

Purchase or Lease of Quota	Total Costs
Lease of quota pounds	\$ _____
Purchase of quota pounds	\$ _____
Lease of quota shares	\$ _____
Purchase of quota shares	\$ _____

V. Expenses and Depreciation

12. Provide the total **expenses** on **utilities** at your facility in 2015.

Utility Expense Category	Total Expenses
Electricity	\$ _____
Natural gas	\$ _____
Propane gas for transportation and processing	\$ _____
Other gas (not gasoline)	\$ _____
Water	\$ _____
Sewer, waste, and byproduct disposal	\$ _____

13. Provide the total **expenses** on **rental or lease payments** for this facility.

Rental or Lease Payments	Total Expenses
Rental or lease of buildings, job-site trailers, and other structures (including land)	\$ _____
Rental or lease of processing machinery or equipment	\$ _____

14. Provide the total **expenses** on **repair and maintenance** on facility buildings, machinery, and equipment (see definitions on bottom of page 3) expensed in 2015.

Total Repair and Maintenance Expenses	\$ _____
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15. What was the total **depreciation** for all capital investments on buildings, new and used machinery and equipment (see definitions of equipment on page 3) taken in 2015?

Total Depreciation	\$ _____
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16. Provide the following information on 2015 **custom processing** of fish you owned that was performed by another processor outside of this facility.

	Total weight of fish supplied to custom processors	Custom Processing Fees Paid
Whiting	_____ lbs	\$ _____
Non-Whiting Groundfish	_____ lbs	\$ _____
Other (Specify): _____	_____ lbs	\$ _____

17. Provide the total amount **expensed** during 2015 in each of the categories below for this facility.

Expense Category	Total Expenses
Shoreside monitoring costs	\$ _____
Offloading expenses paid to other facilities	\$ _____
Production supplies (boots, smocks, hairnets, knives, etc.)	\$ _____
Cleaning and custodial supplies	\$ _____
Packing materials	\$ _____
Freight costs for supplies (including fish) delivered to the facility	\$ _____
Non-fish ingredients (additives)	\$ _____
Off-site product freezing and storage	\$ _____
Communications and office supplies for the facility	\$ _____
Insurance payments (property, product, personal liability and fire liability)	\$ _____
Taxes (property and excise)	\$ _____
Licensing fees	\$ _____
Other (Specify): _____	\$ _____
Other (Specify): _____	\$ _____

18. Provide the following information about the landing origin of groundfish received at this facility in 2015.

- If this information is not available, please write "NA."
- Do not include fish received that you custom processed for others.

Landing Origin	Total <u>Weight</u> of Groundfish Received	Total <u>Cost</u> of Groundfish Received
Whiting		
West Coast (WA, OR, CA)	_____ lbs	\$ _____
Canada	_____ lbs	\$ _____
Other (Specify): _____	_____ lbs	\$ _____
Non-whiting groundfish		
West Coast (WA, OR, CA)	_____ lbs	\$ _____
Alaska (excluding pollock)	_____ lbs	\$ _____
Canada	_____ lbs	\$ _____
Other (Specify): _____	_____ lbs	\$ _____

19. Fish Received. In the table below provide the weight and cost of fish received in 2015. Please note that there are separate columns for fish that were not paid for and fish that were paid for.

- Do not include fish received for custom processing.
- Include fish purchased by you that are custom processed by another processor outside of this facility.
- Include any post-season adjustments.
- **LE Trawl Vessels:** fish acquired directly from a vessel registered to a Limited Entry (LE) permit with a trawl endorsement and caught either with trawl or fixed gear (longline or pots).
- **LE Fixed Gear Vessels:** fish acquired directly from a vessel registered to a LE permit with a fixed gear endorsement. Do not include fish caught with fixed gear using a LE permit with a trawl endorsement.
- **Other Vessels:** fish acquired directly from a vessel without a limited entry trawl or fixed gear endorsement, including open access fisheries.
- **Non-Vessel Sources:** includes fish acquired from other entities, including first receivers, processors, wholesale dealers, brokers, aquaculture producers, and transfers from outside this facility.
- **Gross Cost of Fish Paid for:** includes the value of any taxes paid on behalf of delivering vessels.

Type and Source of Fish	Fish NOT PAID for		Fish PAID for	
	Total weight not paid for due to quality or size reasons	Total weight not paid for transfers from outside this facility	Total weight of fish paid for from vessels or non-vessel sources	Gross cost of fish paid for from vessels or non-vessel sources
Whiting				
LE Trawl Vessels	lbs		lbs	\$
LE Fixed Gear Vessels	lbs		lbs	\$
Other Vessels	lbs		lbs	\$
Non-Vessel Sources	lbs	lbs	lbs	\$
Arrowtooth flounder				
LE Trawl Vessels	lbs		lbs	\$
LE Fixed Gear Vessels	lbs		lbs	\$
Other Vessels	lbs		lbs	\$
Non-Vessel Sources	lbs	lbs	lbs	\$
Dover sole				
LE Trawl Vessels	lbs		lbs	\$
LE Fixed Gear Vessels	lbs		lbs	\$
Other Vessels	lbs		lbs	\$
Non-Vessel Sources	lbs	lbs	lbs	\$
English sole				
LE Trawl Vessels	lbs		lbs	\$
LE Fixed Gear Vessels	lbs		lbs	\$
Other Vessels	lbs		lbs	\$
Non-Vessel Sources	lbs	lbs	lbs	\$
Lingcod				
LE Trawl Vessels	lbs		lbs	\$
LE Fixed Gear Vessels	lbs		lbs	\$
Other Vessels	lbs		lbs	\$
Non-Vessel Sources	lbs	lbs	lbs	\$

19. (Continued)

Type and Source of Fish	Fish NOT PAID for		Fish PAID for	
	Total weight not paid for due to quality or size reasons	Total weight not paid for transfers from outside this facility	Total weight of fish paid for from vessels or non-vessel sources	Gross cost of fish paid for from vessels or non-vessel sources
Pacific sanddab				
LE Trawl Vessels	lbs		lbs	\$
LE Fixed Gear Vessels	lbs		lbs	\$
Other Vessels	lbs		lbs	\$
Non-Vessel Sources	lbs	lbs	lbs	\$
Petrale sole				
LE Trawl Vessels	lbs		lbs	\$
LE Fixed Gear Vessels	lbs		lbs	\$
Other Vessels	lbs		lbs	\$
Non-Vessel Sources	lbs	lbs	lbs	\$
Rex sole				
LE Trawl Vessels	lbs		lbs	\$
LE Fixed Gear Vessels	lbs		lbs	\$
Other Vessels	lbs		lbs	\$
Non-Vessel Sources	lbs	lbs	lbs	\$
Rockfish				
LE Trawl Vessels	lbs		lbs	\$
LE Fixed Gear Vessels	lbs		lbs	\$
Other Vessels	lbs		lbs	\$
Non-Vessel Sources	lbs	lbs	lbs	\$
Sablefish (black cod)				
LE Trawl Vessels	lbs		lbs	\$
LE Fixed Gear Vessels	lbs		lbs	\$
Other Vessels	lbs		lbs	\$
Non-Vessel Sources	lbs	lbs	lbs	\$
Thornyheads				
LE Trawl Vessels	lbs		lbs	\$
LE Fixed Gear Vessels	lbs		lbs	\$
Other Vessels	lbs		lbs	\$
Non-Vessel Sources	lbs	lbs	lbs	\$
Sharks, skates, rays				
LE Trawl Vessels	lbs		lbs	\$
LE Fixed Gear Vessels	lbs		lbs	\$
Other Vessels	lbs		lbs	\$
Non-Vessel Sources	lbs	lbs	lbs	\$
Coastal pelagic (include sardines and mackerel)				
Vessel Sources	lbs		lbs	\$
Non-Vessel Sources	lbs	lbs	lbs	\$

19. (Continued)

Type and Source of Fish	Fish NOT PAID for		Fish PAID for	
	Total weight not paid for due to quality or size reasons	Total weight not paid for transfers from outside this facility	Total weight of fish paid for from vessels or non-vessel sources	Gross cost of fish paid for from vessels or non-vessel sources
Crab				
Vessel Sources	_____ lbs	_____	_____ lbs	\$ _____
Non-Vessel Sources	_____ lbs	_____ lbs	_____ lbs	\$ _____
Echinoderms (include sea urchins and sea cucumbers)				
Vessel Sources	_____ lbs	_____	_____ lbs	\$ _____
Non-Vessel Sources	_____ lbs	_____ lbs	_____ lbs	\$ _____
California Halibut				
Vessel Sources	_____ lbs	_____	_____ lbs	\$ _____
Non-Vessel Sources	_____ lbs	_____ lbs	_____ lbs	\$ _____
Pacific Halibut				
Vessel Sources	_____ lbs	_____	_____ lbs	\$ _____
Non-Vessel Sources	_____ lbs	_____ lbs	_____ lbs	\$ _____
Herring				
Vessel Sources	_____ lbs	_____	_____ lbs	\$ _____
Non-Vessel Sources	_____ lbs	_____ lbs	_____ lbs	\$ _____
Salmon				
Vessel Sources	_____ lbs	_____	_____ lbs	\$ _____
Non-Vessel Sources	_____ lbs	_____ lbs	_____ lbs	\$ _____
Shrimp				
Vessel Sources	_____ lbs	_____	_____ lbs	\$ _____
Non-Vessel Sources	_____ lbs	_____ lbs	_____ lbs	\$ _____
Squid				
Vessel Sources	_____ lbs	_____	_____ lbs	\$ _____
Non-Vessel Sources	_____ lbs	_____ lbs	_____ lbs	\$ _____
Sturgeon				
Vessel Sources	_____ lbs	_____	_____ lbs	\$ _____
Non-Vessel Sources	_____ lbs	_____ lbs	_____ lbs	\$ _____
Tuna				
Vessel Sources	_____ lbs	_____	_____ lbs	\$ _____
Non-Vessel Sources	_____ lbs	_____ lbs	_____ lbs	\$ _____
Other Shellfish				
Vessel Sources	_____ lbs	_____	_____ lbs	\$ _____
Non-Vessel Sources	_____ lbs	_____ lbs	_____ lbs	\$ _____
Other Species (please list)				
Vessel Sources	_____ lbs	_____	_____ lbs	\$ _____
Non-Vessel Sources	_____ lbs	_____ lbs	_____ lbs	\$ _____
Vessel Sources	_____ lbs	_____	_____ lbs	\$ _____
Non-Vessel Sources	_____ lbs	_____ lbs	_____ lbs	\$ _____

VI. Annual Earnings

20. Fish Production. Provide the 2015 value of production FOB plant (after discounts and allowances and excluding freight charges).

- Frozen includes fish that is frozen in the round or fish that is processed in any form (e.g. fillets, H&G) and frozen.
- Unprocessed includes fish sold in the round.
- **Include:**
 - Products made or acquired during 2015 and held in inventory at the end of the year. Estimate the value on the basis of the average price received for similar products sold during the year.
 - Products shipped to other facilities of your company. Estimate the value on the basis of the average price received for similar products sold during the year.
 - Products made from custom processing performed for you.
 - Any post-season adjustments.
- **Do not include:**
 - Revenue from products produced or acquired in previous years.
 - Products you produced as a custom processing service for others.
 - Any additional payment you received to cover any shipping, handling, or storage costs associated with the sale beyond the plant.
 - Discards.

Type of Fish	Total <u>weight</u> of 2015 fish production (both processed and unprocessed)	Total <u>value</u> of 2015 fish production (both processed and unprocessed)
Whiting		
Surimi	lbs	\$
H&G	lbs	\$
Fillets	lbs	\$
Roe	lbs	\$
Frozen Whole	lbs	\$
Unprocessed	lbs	\$
Other	lbs	\$
Other	lbs	\$
Arrowtooth flounder		
Processed Fresh	lbs	\$
Frozen	lbs	\$
Unprocessed	lbs	\$
Other	lbs	\$
Dover sole		
Processed Fresh	lbs	\$
Frozen	lbs	\$
Unprocessed	lbs	\$
Other	lbs	\$
English Sole		
Processed Fresh	lbs	\$
Frozen	lbs	\$
Unprocessed	lbs	\$
Other	lbs	\$

Type of Fish	Total <u>weight</u> of 2015 fish production (both processed and unprocessed)	Total <u>value</u> of 2015 fish production (both processed and unprocessed)
Lingcod		
Processed Fresh	lbs	\$
Frozen	lbs	\$
Unprocessed	lbs	\$
Other	lbs	\$
Pacific Sanddab		
Processed Fresh	lbs	\$
Frozen	lbs	\$
Unprocessed	lbs	\$
Other	lbs	\$
Petrале sole		
Processed Fresh	lbs	\$
Frozen	lbs	\$
Unprocessed	lbs	\$
Other	lbs	\$
Rex sole		
Processed Fresh	lbs	\$
Frozen	lbs	\$
Unprocessed	lbs	\$
Other	lbs	\$
Rockfish		
Processed Fresh	lbs	\$
Frozen	lbs	\$
Unprocessed	lbs	\$
Other	lbs	\$
Sablefish		
Processed Fresh	lbs	\$
Frozen	lbs	\$
Unprocessed	lbs	\$
Other	lbs	\$
Thornyheads		
Processed Fresh	lbs	\$
Frozen	lbs	\$
Unprocessed	lbs	\$
Other	lbs	\$
Sharks, Skates, Rays		
Processed Fresh	lbs	\$
Frozen	lbs	\$
Unprocessed	lbs	\$
Other	lbs	\$
Coastal Pelagic (include sardines and mackerel)		
Processed Fresh	lbs	\$
Frozen	lbs	\$
Canned	lbs	\$
Unprocessed	lbs	\$
Other	lbs	\$

20. (Continued)

Type of Fish	Total <u>weight</u> of 2015 fish production (both processed and unprocessed)	Total <u>value</u> of 2015 fish production (both processed and unprocessed)
Crab		
Processed Fresh	lbs	\$
Frozen	lbs	\$
Canned	lbs	\$
Unprocessed	lbs	\$
Other	lbs	\$
Echinoderms (include sea urchins and sea cucumbers)		
Processed Fresh	lbs	\$
Frozen	lbs	\$
Unprocessed	lbs	\$
Other	lbs	\$
California Halibut		
Processed Fresh	lbs	\$
Frozen	lbs	\$
Unprocessed	lbs	\$
Other	lbs	\$
Pacific Halibut		
Processed Fresh	lbs	\$
Frozen	lbs	\$
Unprocessed	lbs	\$
Other	lbs	\$
Herring		
Processed Fresh	lbs	\$
Frozen	lbs	\$
Unprocessed	lbs	\$
Other	lbs	\$
Salmon		
Processed Fresh	lbs	\$
Frozen	lbs	\$
Smoked	lbs	\$
Canned	lbs	\$
Unprocessed	lbs	\$
Other	lbs	\$
Shrimp		
Processed Fresh	lbs	\$
Frozen	lbs	\$
Canned	lbs	\$
Unprocessed	lbs	\$
Other	lbs	\$

20. (Continued)

Type of Fish	Total weight of 2015 fish production (both processed and unprocessed)	Total value of 2015 fish production (both processed and unprocessed)
Squid		
Processed Fresh	_____ lbs	\$ _____
Frozen	_____ lbs	\$ _____
Unprocessed	_____ lbs	\$ _____
Other	_____ lbs	\$ _____
Sturgeon		
Processed Fresh	_____ lbs	\$ _____
Frozen	_____ lbs	\$ _____
Canned	_____ lbs	\$ _____
Unprocessed	_____ lbs	\$ _____
Other	_____ lbs	\$ _____
Tuna		
Processed Fresh	_____ lbs	\$ _____
Frozen	_____ lbs	\$ _____
Canned	_____ lbs	\$ _____
Unprocessed	_____ lbs	\$ _____
Other	_____ lbs	\$ _____
Other Shellfish		
Processed Fresh	_____ lbs	\$ _____
Frozen	_____ lbs	\$ _____
Unprocessed	_____ lbs	\$ _____
Other	_____ lbs	\$ _____
Other Non-Species Specific Products		
Fish Meal	_____ lbs	\$ _____
Fish Oil	_____ lbs	\$ _____
Bait	_____ lbs	\$ _____
Other products	_____ lbs	\$ _____
Other Species (please list)		
_____	_____ lbs	\$ _____
_____	_____ lbs	\$ _____
_____	_____ lbs	\$ _____

VII. Other Earnings

21. Provide the revenue received by you for **custom processing** of fish owned by another processor outside of this facility in 2015.

	2015 Custom Processing Revenue
Whiting	\$ _____
Non-Whiting Groundfish	\$ _____
Other (Specify): _____	\$ _____

22. Provide the **revenue** received in 2015 for each of the earnings sources listed below.

Earnings Source	2015 Total Revenue
Offloading earnings received from others	\$ _____
Sale of quota pounds	\$ _____
Leasing of quota pounds	\$ _____
Leasing of quota share	\$ _____
Sale of quota share	\$ _____
Insurance settlements	\$ _____
Other (Specify): _____	\$ _____

23. Read the following statement, and sign and date the box below.

I certify under penalty of perjury that I have reviewed all the information in this questionnaire and that it is true and complete to the best of my knowledge.	
Signature	Date signed _____/_____/_____ mm dd yyyy
Print Name	

Questionnaire Comments: